

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): YAMADA et al.

Appln. No.: 09

Series Code ↑

Filed: November 27, 2001

Hon. Commissioner of Patents  
Washington, D.C. 20231

Sir:

**REPLY/AMENDMENT/LETTER**

Group Art Unit 2822

Examiner: Lewis, Monica

Atty. Dkt. P 284032 01F171

M# Client Ref

Appln. Title: SEMICONDUCTOR DEVICE WITH  
VERTICAL TRANSISTOR FORMED IN  
A SILICON-ON-INSULATOR  
SUBSTRATE

Date: April 28, 2003

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

**FEE REQUIREMENTS FOR CLAIMS AS AMENDED**

## 1. Small Entity claim

- A. ☐ NOT made  
B. ☐ Withdrawn  
C. ☐ made herewith  
D. ☐ made previously

For B & C  
See **Required**  
**Separate Paper**  
(Pat-256)

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	26	**minus 26 0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	6	***minus 6 0	x \$84/\$42 =	+ \$0	102/202
4. If amendment enters <u>proper</u> multiple dependent claim(s) into this application for <u>first</u> time (leave blank if this is a reissue application) .....	add	+ \$280/\$140 =	+ \$0	104/204	
5. Original due Date: April 2, 2003	<input type="checkbox"/> NONE				
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) \$110/\$55 = (2 mos) \$400/\$200 = (3 mos) \$920/\$460 = (4 mos) \$1,440/\$720 = (5 mos) \$1,960/\$980 =	+ \$110			115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract	- \$0				
8.	Extension Fee	+ \$110			
9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....	+ \$110/\$55	+ \$0			148/248
10. If IDS attached requires Official Fee under Rule 97 (c), .....	+ \$180	+ \$0			126
or if Rule 97(d) Request .....	+ \$180				126
11. After-Final Request Fee per rules 129(a) and 17(r) .....	+ \$740/370	+ \$0			146/246
12. No. of additional inventions for examination per Rule 129(b).....	x \$740/370 ea	+ \$0			149/249
13. Request for Continued Examination (RCE) .....	+ \$740/370	+ \$0			1179/1279
14. Petition fee for .....		+ \$0			
15.	TOTAL FEE =		\$110		

16. \*If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. \*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. \*\*\*If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

**PLEASE CHARGE  
OUR DEP. ACCT**

04/30/2003 HBIZUNES 00000099 033975 09993967

Our Deposit Account No. 03-3975)

(Our Order No. 44020 284032

C#

M#

01 FC:1251 110.00 CH

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP  
Intellectual Property Group

By Atty: Dale S. Lazar

Sig: By *Dale S. Lazar* 28,458

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**NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments**